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| UTILITY   |   |   | Attorney I  | Docket No.  | JMZ 1  | 1000-1US  |                        | $\circ$        |
|---|---|---|---|---|--|---|------------------------|----------------|
| ΡΔΤ   | PATENT APPLICATION  |   | First Inventor JERR   |   |  | Y A. SPE  | ASL                    | PT             |
| 173   | TRANSMITTAL   |   |   | INTELLIGENT PORTABLE MEMORY   |  |   |                        | 210            |
| <b>†</b>  |   |   | Title   | DEVICE WIT  |  |   |                        | <del>- 5</del> |
| (Only for new n   | ionprovisional  | applications under 37 CFR 1.53(b))  | Express I   | Mail Label No.  | EVSI   | 4043070U  | <u> </u>               | 77             |
| ľ   |   | ON ELEMENTS  ning utility patent application contents.                              | ADDRE   | SS TO:  | Commissi<br>P.O. Box 1                                       | Patent Application<br>oner for Patents<br>1450<br>a VA 22313-1450 |                        | 221            |
| 2. Applicant of See 37 CF 3. Specification (preferred ar - Descriptive - Cross Refe - Statement - Reference or a compu - Backgroun - Brief Summ - Brief Description - Detailed Dr.  | original and a diclaims small in R 1.27.  On the small in a small | vention  ted Applications d sponsored R & D  isting, a table,  sting appendix  tion | 8. Nucl<br>(if ap<br>a. b.  | Computer Proglectide and/or A plicable, all nec Computer  Specifical  i. CD-  ii. Pap  Statemer | ram (Appermino Acid ressary) Reader Folion Seque ROM or Coer | Sequence Subr   | nission  or  ve copies |                |
| - Claim(s)  | the Disclosur   | e   | A   | CCOMPAN   | YING AF  | PLICATION   | PARIS                  |                |
| - Abstract of the Disclosure  4. Drawing(s) (35 U.S.C. 113) [Total Sheets 8 ]  5. Oath or Declaration [Total Sheets 3 ]  a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76   |   |   | 9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney 4 forms 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  Other: check no. 2496 in the amount of \$1,330.00 |   |  |   |                        |                |
| 18. If a CONTINUIT<br>specification following   | NG APPLICA<br>ing the title, o  | ATION, check appropriate box, and sup<br>or in an Application Data Sheet under 3    | ply the requ<br>7 CFR 1.76  | uisite informatio<br>:  | n below an   | d in the first ser  | tence of the           |                |
| Continuation  Divisional  Continuation-in-part (CIP)  of prior application No.:  Prior application information:  Examiner  Art Unit:  For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |   |   |   |   |  |   |                        |                |
| 19. CORRESPONDENCE ADDRESS  |   |   |   |   |  |   |                        |                |
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| Name (Print/Type)   | Warren  | S. Wolfeld  | Registrat   | ion No. (Attorne  | y/Agent)   | 3   | 1,454                  |                |
| Signature   | 1/1   | 0 / .00   |   |   |  |   | 46/2002                |                |

Signature

| Date | Dat



PTO/SB/17 (05-03)

## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 1,330.00 TOTAL AMOUNT OF PAYMENT

| Complete if Known    |                       |  |  |  |
|----------------------|-----------------------|--|--|--|
| Application Number   | Please Assign         |  |  |  |
| Filing Date          | Concurrently Herewith |  |  |  |
| First Named Inventor | Jerry A. Speasl       |  |  |  |
| Examiner Name        | Unknown               |  |  |  |
| Art Unit             | Unknown               |  |  |  |
| Attorney Docket No.  | JMZ 1000-1US          |  |  |  |

| METHOD OF PAYMENT (check all that apply)                                  | FEE CALCULATION (continued) |               |              |             |   |          |
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| Deposit Account:  | <u>Large E</u>              |               |              |             |   |          |
| Deposit   | Fee<br>Code                 | Fee<br>(\$)   | Fee<br>Code  | Fee<br>(\$) | Fee Description   | Fee Paid |
| Account   50-0869   | 1051                        | 130           | 2051         |             | Surcharge - late filing fee or oath   |          |
| Number<br>Deposit<br>Account Haynes Beffel & Wolfeld                      | 1052                        | 50            | 2052         | 25          | Surcharge - late provisional filing fee or                                      |          |
| Account Name  | 4050                        | 120           | 1050         | 120         | cover sheet   |          |
| The Director is authorized to: (check all that apply)                     | 1053<br>1812 2              | 130           | 1053<br>1812 |             | Non-English specification  For filing a request for ex parte reexamination      |          |
| Charge fee(s) indicated below   | 1804                        | 2,520<br>920* | 1804         | _,          | Requesting publication of SIR prior to  |          |
| Charge any additional fee(s) during the pendency of this application      | 1004                        | 920           | 1004         | 920         | Examiner action   |          |
| Charge fee(s) indicated below, except for the filing fee                  | 1805                        | 1,840*        | 1805         | 1,840*      | Requesting publication of SIR after Examiner action                             |          |
| to the above-identified deposit account.                                  | 1251                        | 110           | 2251         | 55          | Extension for reply within first month  |          |
| FEE CALCULATION   | 1252                        | 410           | 2252         | 205         | Extension for reply within second month   |          |
| 1. BASIC FILING FEE   | 1253                        | 930           | 2253         | 465         | Extension for reply within third month  |          |
| Large Entity Small Entity  Fee Fee   Fee Fee   Fee Description   Fee Paid | 1254                        | -             | 2254         | 725         | Extension for reply within fourth month   |          |
| Code (\$) Code (\$)   | 1255                        | •             | 2255         | 985         | Extension for reply within fifth month  |          |
| 1001 750 2001 375 Utility filing fee 375.00                               | 1401                        | 320           | 2401         |             | Notice of Appeal  |          |
| 1002 330 2002 165 Design filing fee                                       | 1401                        | 320           | 2401         |             | Notice of Appeal Filing a brief in support of an appeal                         |          |
| 1003 520 2003 260 Plant filing fee  | 1402                        | 280           | 2402         |             | Request for oral hearing  |          |
| 1004 750 2004 375 Reissue filing fee                                      | 1451                        |               | 1451         |             | Petition to institute a public use proceeding                                   |          |
| 1005 160 2005 80 Provisional filing fee                                   | 1452                        | 110           | 2452         |             | Petition to revive - unavoidable  |          |
| SUBTOTAL (1) (\$) 375.00  | 1453                        |               | 2453         |             | Petition to revive - unintentional  |          |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE                               | 1501                        |               | 2501         |             | Utility issue fee (or reissue)  |          |
| Fee from Extra Claims <u>below</u> <u>Fee Paid</u>                        | 1502                        | 470           | 2502         |             | Design issue fee  |          |
| Total Claims 103 -20** = 83 x 9 = 747                                     | 1503                        | 630           | 2503         |             | 5 Plant issue fee   |          |
| Independent 7 - 3** = 4 X 42 = 168  | 1460                        | 130           | 1460         | 130         | Petitions to the Commissioner   |          |
| Multiple Dependent =0   | 1807                        | 50            | 180          | 7 50        | Processing fee under 37 CFR 1.17(q)   |          |
| Large Entity   Small Entity   | 1806                        | 180           | 180          | 6 180       | Submission of Information Disclosure Stmt                                       |          |
| Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)                | 8021                        | 40            | 802          | 1 40        | Recording each patent assignment per  | 40.00    |
| 1202 18 2202 9 Claims in excess of 20                                     |                             |               |              |             | property (times number of properties) Filing a submission after final rejection |          |
| 1201 84 2201 42 Independent claims in excess of 3                         | 1809                        | 750           | 280          | 9 3/5       | (37 CFR 1.129(a))   |          |
| 1203 280 2203 140 Multiple dependent claim, if not paid                   | 1810                        | 750           | 281          | 0 375       | For each additional invention to be   | 1        |
| 1204 84 2204 42 ** Reissue independent claims                             | 4004                        | 750           | 2004         | 27          | examined (37 CFR 1.129(b))  |          |
| over original patent  | 1801<br>1802                | 750<br>900    | 2801<br>1802 |             | 5 Request for Continued Examination (RCE) 0 Request for expedited examination   |          |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1002                        | 900           | 1 1002       | . 301       | of a design application   |          |
| SUBTOTAL (2) (\$) 915.00  | Other                       | fee (sp       | oecify)      |             |   | <u></u>  |
| **or number previously paid, if greater; For Reissues, see above          | *Redu                       | uced by       | y Basic      | Filing F    | Fee Paid SUBTOTAL (3) (\$) 40.0   | 0        |
| or runner providedly paid, " greater, " or resease, does above            |                             |               | =            |             | (Complete (if engliseble)   |          |

| SUBMITTED BY      |                   |                                   |        | (Complete ( | if applicable) |
|-------------------|-------------------|-----------------------------------|--------|-------------|----------------|
| Name (Print/Type) | Warren S. Wolfeld | Registration No. (Attorney/Agent) | 31,454 | Telephone   | 650-712-0340   |
| Signature         | warend holping    |                                   |        | Date        | 09/16/03       |

WARNING: Informati  $\ n$  on this form may bec  $\ m$  public. Credit card information should  $\ n$  t be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor                                  |  | JERRY A. SPEASL |  |  |  |  |
|---|--|-----------------|--|--|--|--|
| Title INTELLIGENT PORTABLE MEMORY DEVICE WITH DISPLAY |  |                 |  |  |  |  |
| Attorney Docket Number                                |  | JMZ 1000-1US    |  |  |  |  |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 16, 2003

Date

(650) 712-0340

Telephone number

Warren S. Wolfeld

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.